

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): CALDATO ET AL.

Confirmation No.:

Application No.: 10/611,834

Examiner:

Filing Date: JULY 1, 2003

Group Art Unit:

Title: IMAGE DATA PROCESSING METHOD AND CORRESPONDING DEVICE

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:

Transmitted herewith is/are the following in the above-identified application:

- ( ) Response/Amendment ( ) Petition to extend time to respond  
( ) New fee as calculated below ( ) Supplemental Declaration  
( ) No additional fee (Address envelope to "Mail Stop Non-Fee Amendment")  
 Other: SUBMISSION OF PRIORITY DOCUMENT - EP 98203197.3 (fee \$           )

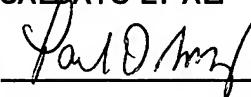
CLAIMS AS AMENDED BY OTHER THAN A SMALL ENTITY						
(1) FOR	(2) CLAIMS REMAINING AFTER AMENDMENT	(3) NUMBER EXTRA	(4) HIGHEST NUMBER PREVIOUSLY PAID FOR	(5) PRESENT EXTRA	(6) RATE	(7) ADDITIONAL FEES
TOTAL CLAIMS		MINUS		= 0	X \$18	\$ 0
INDEP. CLAIMS		MINUS		= 0	X \$86	\$ 0
[ ] FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM					+ \$290	\$ 0
EXTENSION FEE	1ST MONTH \$110.00	2ND MONTH \$420.00	3RD MONTH \$950.00	4TH MONTH \$1480.00		\$ 0
OTHER FEES						\$
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT						\$ 0

Charge \$ 0 to Deposit Account 08-2025. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 08-2025 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

CALDATO ET AL

By



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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

Date of Deposit: OCT. 17, 2003

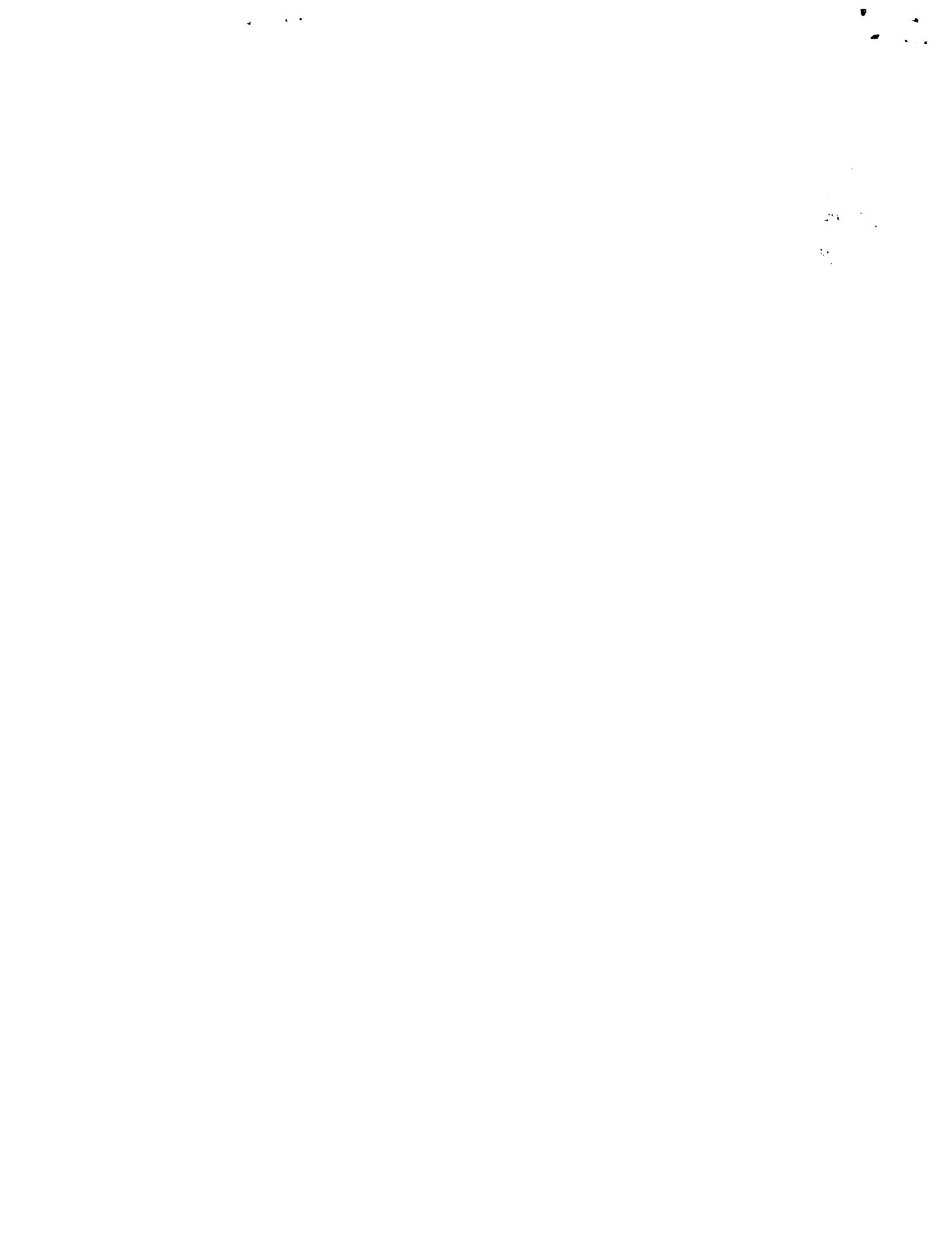
Typed Name: Michelle F. Pagliarulo

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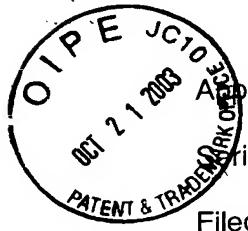
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Telephone No.: 203-327-4500

- Attach as First Page to Transmitted Papers -



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): Caldato et al.  
Serial No.: 10/611,834  
Filed: July 1, 2003  
For: IMAGE DATA PROCESSING METHOD AND CORRESPONDING DEVICE  
Examiner Attorney: Not Yet Assigned  
Art Unit: Not Yet Assigned  
Attorney Docket: 60980084-3  
Customer No.: 27623

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUBMISSION OF PRIORITY DOCUMENT**

Sir:

We are enclosing a certified copy of the priority document, European Patent Application No. 98203197.3 filed on September 22, 1998, for filing in the above noted application.

It is respectfully requested that this application be passed to allowance.

Respectfully submitted,

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Date: October 17, 2003





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Bescheinigung

Certificate

Attestation

Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

98203197.3

Der Präsident des Europäischen Patentamts:  
Im Auftrag

For the President of the European Patent Office

Le Président de l'Office européen des brevets  
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C. v.d. Aa-Jansen

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**Blatt 2 d r Bescheinigung  
Sheet 2 of the certificate  
Page 2 de l'attestation**

Anmeldung Nr.:  
Application no.: **98203197.3**  
Demande n°:

Anmeldetag:  
Date of filing: **22/09/98**  
Date de dépôt:

Anmelder:  
Applicant(s):  
Demandeur(s):  
**Hewlett-Packard Company, @A Delaware Corporation**  
**Palo Alto, @CA 94304**  
**UNITED STATES OF AMERICA**

Bezeichnung der Erfindung:  
Title of the invention:  
Titre de l'invention:  
**Image data processing method and corresponding device**

In Anspruch genommene Priorität(en) / Priority(ies) claimed / Priorité(s) revendiquée(s)

Staat: Tag: Aktenzeichen:  
State: Date: File no.  
Pays: Date: Numéro de dépôt:

Internationale Patentklassifikation:  
International Patent classification:  
Classification internationale des brevets:  
**G06T5/40**

Am Anmeldestag benannte Vertragstaaten:  
Contracting states designated at date of filing: **AT/BE/CH/CY/DE/DK/ES/FI/FR/GB/GR/IE/IT/LI/LU/MC/NL/PT/SE**  
Etats contractants désignés lors du dépôt:

Bemerkungen:  
Remarks:  
Remarques:

The applicant's name at the time of filling of the application was as follows: Hewlett-Packard Company, Palo Alto, U.S.A.  
The registration of the changes has taken effect on 08.02.01.



- 1 -

## DESCRIPTION

### Background of the invention

The present invention relates to a processing method and,  
more particularly, to an image data processing method  
5 receiving an input image data splitted into elementary unit  
of information to be used in embedded applications.

The present invention also relates to an image data  
processing device implementing such image data processing  
method.

### 10 Prior Art

As is well known in the technical field of image  
processing, during its life an image is processed by a  
plurality of electronic devices, that create, acquire,  
display store, read and write the image itself.

15 The image data processing device, and the corresponding  
processing method deal with an image acquired by means of  
an image acquisition device, for example a scanner.

The image data so obtained are usually organized into a  
raster of pixels, each pixels providing an elementary image  
20 information.

In other words, images are, at the most basic level, arrays  
of digital values, where a value is a collection of numbers  
describing the attributes of a pixel in the image. For  
example, in bitmaps, the above mentioned values are single  
25 binary digits.

Often, these numbers are fixed-point representation of a  
range of real number; for example, the integers 0 through  
255 are often used to represent the numbers from 0.0 to  
1.0. Often too, these numbers represent the intensity at a

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point of the image (gray scale) or the intensity of one color component at that point.

An important distinction has to be made in the images to be processed between achromatic and colored images.

- 5 In fact, achromatic light has only one attribute, which is the quantity of light. This attribute can be discussed in the physic sense of energy, in which case the terms intensity and luminance are used, or in the psychological sense of perceived intensity, in which case the term  
10 brightness is used.

It is useful to associate a scale with different intensity levels, for instance defining 0 as black and 1 as white; intensity levels between 0 and 1 represent different levels of grays.

- 15 The visual sensations caused by colored light are much more richer than those caused by achromatic light. Discussion on color perception usually involves three quantities, known as: hue, saturation and lightness.

- 20 1. Hue distinguishes among colors such as red, green, purple and yellow.

- 25 2. Saturation refers to how far a color is from a gray of equal intensity. Red is highly saturated; pink is relatively unsaturated; royal blue is highly saturated; sky blue is relatively unsaturated. Pastel colors are relatively unsaturated; unsaturated colors include more white light than do the vivid, saturated colors.

3. Lightness embodies the achromatic notion of perceived intensity of a reflecting object.

- A fourth term, brightness, is used instead of lightness to  
30 refer to the perceived intensity of a self-luminous object

(i.e. an object emitting rather than reflecting light), such as a light bulb, the sun or a CRT.

The above mentioned features of colors seem to be subjective: they depend on human observers' judgment. In 5 reality, the branch of physics known as colorimetry provides for an objective and quantitative way of specifying colors, which can be correlated to the above perceptual classification.

A color can be represented by means of its dominant 10 wavelength, which corresponds to the perceptual notion of hue; excitation purity corresponds to the saturation of the color; luminance is the amount or intensity of light. The excitation purity of a colored light is the proportion of pure light of the dominant wavelength and of white light 15 needed to define the color.

A completely pure color is 100 % saturated and thus contains no white light, whereas mixtures of a pure color and white light have saturations somewhere between 0 and 100 %. White light and hence gray are 0 % saturated, 20 contains no color of any dominant wavelength.

Furthermore, light is fundamentally electromagnetic energy in the 400-700 nm wavelength part of the spectrum, which is perceived as the colors from violet through indigo, blue, green, yellow and orange to red. The amount of energy 25 present at each wavelength is represented by a spectral energy distribution  $P(\lambda)$ , as shown in figure 1.

The visual effect of any spectral distribution can be described by means of three values, i.e. the dominant wavelength, the excitation purity, and the luminance. 30 Figure 2 shows the spectral distribution of figure 1, illustrating such three value. In particular, it should be noted that at the dominant wavelength there is a spike of

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energy of level e2. White light, the uniform distribution of energy level e1 is also present.

The excitation purity depends on the relation between e1 and e2: when  $e1=e2$ , excitation purity is 0 %; when  $e1=0$ , 5 excitation purity is 100 %.

Luminance, which is proportional to the integral of the area under such curve, depends on both e1 and e2.

A color model is a specification of a 3D color coordinate system and a visible subset in the coordinate system within 10 which all colors in a particular range lie. For instance, the RGB (red, green, blue) color model is the unit cube subset of a 3D Cartesian coordinate system, as shown in figure 3.

More specifically, three hardware-oriented color models are 15 RGB, used with color CRT monitors, YIQ, i.e. the broadcast TV color system that is a re-coding of RGB transmission efficiency and for downward compatibility with black and white television and CMY (cyan, magenta, yellow) for some color-printing devices. Unfortunately none of these models 20 are particularly easy to use because they do not relate directly to intuitive color notions of hue, saturation, and brightness. Therefore, another class of models has been developed with ease of use as a goal, such as the HSV (hue, saturation, value) - sometimes called HSB (hue, saturation, 25 brightness), HLS (hue, lightness, saturation) and HVC (hue, value, chroma) models.

With each model is also given a means of converting to some other specification.

As stated above, the RGB color model used in color CRT 30 monitors and color raster graphics employs a Cartesian coordinate system. The RGB primaries are additive

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primaries; that is the individual contributions of each primary are added together to yield the result. The main diagonal of the cube, with equal amounts of each primary, represents the gray levels: black is (0,0,0); white is  
5 (1,1,1).

Following such gray line implies the change of the three Cartesian value R, G and B at the same time, as shown with a point-dotted line in figure 4A; this situation weights the computational charge of the image processing steps  
10 requiring the individuation of gray regions.

The RGB model is hardware-oriented. By contrast HSV (as well as HSB or HLC) model is user-oriented, being based on the intuitive appeal of the artist's tint, shade, and tone. The coordinate system is cylindrical, as shown in figure  
15 4B.

The HSV model (like the HLC model) is easy to use. The grays all have S=0 and they can be removed from an image data raster by means of a cylindrical filter in proximity of the V axes, as shown in figure 5; moreover, the  
20 maximally saturated hues are at S=1, L=0.5.

The HLS color model is a reduced model obtained from the HSV cylindrical model, as shown in figure 6; the reduction of the color space is due to the fact that some colors cannot be saturated. Such space subset is defined as a hexcone or six-sided pyramid, as shown in figure 7. The top of the hexcone corresponds to V=1 which contains the relatively bright colors. The colors of the V=1 plane are not all of the same perceived brightness however.

Hue or H, is measured by the angle around the vertical axis  
30 with red at 0° green at 120° and so on (see figure 7), Complementary colors in the HSV hexcone are 180° opposite one another. The value of S is a ratio ranging from 0 on

the center line (V axis) to 1 on the triangular sides of the hexcone.

The hexcone is one unit high in V, with the apex at the origin. The point at the apex is black and has a V

5 coordinate of 0. At this point, the values of H and S are irrelevant. The point S=0, V=1 is white. Intermediate values of V or S=0 (on the center line) are the grays. It is therefore immediately apparent the simplicity of use of the HSV or equivalent color space in order to obtain the  
10 gray regions.

Adding a white pigment corresponds to decreasing S (without changing V). Shades are created by keeping S=1 and decreasing V. Tones are created by decreasing both S and V.

15 Of course, changing H corresponds to selecting the pure pigment with which to start. Thus, H, S, and V correspond to concepts from the perceptive color system.

The top of the HSV hexcone corresponds to the projection seen by looking along the principal diagonal of the RGB color cube from white toward black, as shown in figure 8.

20 In figure 9 is shown the HLS color model, which is defined in a double-hexcone subset of the cylindrical space. Hue is the angle around the vertical axis of the double hexcone, with red at 0°. The colors occur around the perimeter: red, yellow, green, cyan, blue and magenta. The HLS space can be  
25 considered as a deformation of HSV space, in which white is pulled upward to form the upper hexcone from the V=1 plane. As with the single-hexcone model, the complement of any hue is located 180° farther around the double hexcone, and saturation is measured radially from the vertical axis  
30 from 0 on the axis to 1 on the surface. Lightness is 0 for black (at the lower tip of the double hexcone) to 1 for white (at the upper tip).

Many hardware and software packages ar currently available in the technical field of the electronic image processing which provide for image data processing methods and corresponding devices. However, it should be noted that the  
5 Applicant is not aware of any package capable of operating in both the personal computer/work station field as well as in the embedded devices field.

In fact, the embedded devices have a plurality of needs which turn into tight limitations for the image processing  
10 devices themselves. Particularly, the image processing in an embedded environment seeks:

- to reduce the size of the image data in order to limit the memory area employed by the image data processing devices;

15 - to increase the amount of any text portion comprised in a document that can be OCR'able, i.e. it should be possible to acquire and understand such portion by means of an Optical Characters Recognitor (OCR);

20 - to get as final result of the image data processing device an image viewable and printable, which is close to the original acquired image.

Known document analysis that tried to fit the above requirements have the problem of being computationally very heavy and not suited for embedded applications where  
25 processing power and memory requirements are stringent and important.

So, even if these solutions may perform an acceptable analysis of the document, they are not applicable in an embedded environment.

30 The main purpose of the known document analysis is the extraction of features and the classification of text and

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images in the analyzed documents. Examples of analysis used in this technical field are known from the publication "Document Image Analysis" to L. O'Gorman and R. Kasturi, IEEE Computer Society Press, which is a collection of all 5 the most relevant papers regarding document analysis.

All the known approaches deal with the recognition of different types of areas on a page. The areas are normally classified into regions of text, photo and line art. The page is then divided into these different areas (normally 10 in a mutually exclusive way) and each is treated in a different way. In other terms, the known document analysis deal with understanding the "type" of information that is on the page.

These solutions tend to sub-divide the page into mutually 15 exclusive regions that contain different type of information.

Other known devices deal with decomposed documents, i.e. documents translated into a plurality of elementary image information called pixels. Such devices provide a treatment 20 of the decomposed document as a whole, or at least are able to reconstruct the information they need from the input document format.

An illustrative and not limiting example is a BW fax machine. If such device can deal only with BW data and the 25 document contains a mixture of sparse color and BW data, the fax machine image processing device must be able to reconstruct a single BW page from the pieces of the decomposed original document.

A known way to comply with the embedded environment 30 requirements leads to peripheral devices that support only the specified features of a particular product; that is how cost and performance are satisfied.

An object of the present invention is to teach how to build into the system the necessary architecture which allows for image processing functionality not fixed or predetermined a priori. In this way, the functionality is not static and 5 can be altered just like a program running on a personal computer or on a work station.

Starting from the image processing devices basic requirements, several known solution packages propose a "plug and play" capability of components. Unfortunately, 10 these known solutions do not offer the speed or flexibility in order to operate within an embedded environment.

Moreover, all of the known processing devices suffer large execution footprints, large memory needs, and a code data space that exceeds the ties of the embedded application.

15 So, another object of the present invention is that of figuring how to provide the same level of user configurability and customization, without violating the strict requirements of the embedded market.

#### Summary of the invention

20 The solution idea behind this invention is that of isolating the means whereon data are transported around the system.

More particularly, the present invention describe a dynamic 25 image data processing pipeline which is distributed in a transparent fashion, not influencing any other component within the system using the processing device according to the present invention.

It should be noted that, unlike a series of plugs components or a series of small executables that are 30 chained together to form the pipeline, the present solution focuses in making small atomic operations that function

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independent of any context.

According to this solution idea, the invention relates to an image data processing method as defined in the enclosed claim 1.

- 5 The invention also relates to an image data processing device, implementing such method, as defined in the enclosed claim 12.

The features and advantages of the image data processing method and device according to the invention will be  
10 appreciated by the following description of a preferred embodiment given by way of non-limiting examples with reference to the annexed drawings.

Brief description of the drawings

Figure 1 shows an example of a spectral energy distribution  
15 of a color;

Figure 2 shows the spectral distribution of figure 1, illustrating dominant wavelength, excitation purity and luminance.

Figure 3 shows the 3D Cartesian representation of the RGB  
20 color space, with the fundamental colors;

Figure 4A shows the RGB color space of figure 3 and the gray line within;

Figure 4B shows the cylindrical representation of the HSV/HLC color space;

25 Figure 5 shows a gray filter for the HSV/HLC color space;

Figure 6 shows the HLS color space;

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Figure 7 shows the single-hexcone representation of HSV color space;

Figure 8 shows a section of figure 7;

Figure 9 shows the double-hexcone representation of the HSV  
5 color space;

Figure 10 shows schematically the image processing method according to the present invention;

Figure 11 shows schematically examples of path for the method according to the present invention;

10 Figures 12A, 12B and 12C show an example of atomic operation used in the method according to the present invention and its implementation;

Figures 13A, 13B and 13C show another example of atomic operation used in the method according to the present  
15 invention and its result;

Figures 14A, 14B and 14C show another example of atomic operation used in the method according to the present invention and its implementation;

Figure 15 shows a particular result for the atomic  
20 operation of figures 14A, 14B and 14C;

Figure 16 shows another example of atomic operation used in the method according to the present invention;

Figure 17 shows another example of atomic operation used in the method according to the present invention;

25 Figures 18A and 18B show another example of atomic operation used in the method according to the present invention and its implementation;

Figure 19A shows schematically and functionally an image data processing device according to the present invention;

Figure 19B shows more particularly a detail of figure 19A.

Figure 20 shows more particularly a PDF application of the  
5 method according to the present invention.

Detailed description

The basic idea underlying the present application is that  
of providing a processing method reducing the complexity of  
image processing in an undetermined fashion. The idea  
10 provides also for implementing a corresponding configurable  
plug-&-playable embedded firmware solution.

The image data processing method according to the present  
invention comprises the following steps:

1. Identification of a final device which receives the  
15 output data, in terms of its requirements.
2. Get a color image input data a pixels raster format.
3. Select a group of basic operations to be performed on  
said image data.
4. Create a sequence that links and ordinate said basic  
20 operations.
5. Apply the above predetermined sequence to the input  
image data.
6. Create a plurality of layers of organized meta-level  
data.
- 25 7. Assemble at least an output layer which is optimal for  
the identified device.
8. Send each assembled output layer to the identified

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device.

Examples of final devices may be printers, copiers, image systems, office applications (such as, word processors, spreadsheet,...), facsimiles, OCR applications, PDF 5 applications or the like.

Each of these final devices has specific requirements. For example, high resolution data having no color information are required in order to perform an OCR function; instead, such color information should be contained in an output 10 image data for a color printer. It should be noted that in the above steps 3 to 5 each sequence yields to an unique result. Moreover, the steps 6 and 7 specify, for each layer, which kind of data to process and how to process 15 such data. Finally, step 9 creates an output layer in the specific format required by the identified device.

In other terms, the processing method according to the present invention provide a plurality of basic functions for the digital image pixels and the combination between such functions in order to obtain the desired layer, as 20 shown in figure 10.

According to this figure, an input data 1, having, for example, the form of a raster of pixels, is processed according to a first function f1 in order to obtain a plurality of layers 2, corresponding to different 25 representations of the processed image data.

Moreover, the method according to the present invention comprises a second function f2 that select/create the desired format for the output data 3.

Figure 11 shows schematically examples for image data paths 30 according to the proposed image data processing method. Particularly, in figure 11 the method according to the

present invention is shown in terms of pipelines 4, i.e. in term of "paths" of atomic operations to be performed on the input data 1 in order to obtain a particular output format 3. More particularly, figure 11 shows two different output 5 representation, LAYER1 and LAYER2, obtained by means of a first, PATH1, and a second data path, PATH2.

Image based segmentation and analysis involves the classification of unique data features in input data. This data is viewed and processed as separate entities, without 10 any relationship between the features and the input image being maintained.

The present invention expands upon the traditional processing viewpoint of image segmentation through the introduction of meta-level representation that corresponds 15 to the relationship between layers. Layers become a logical meta-level viewport or window into the input data.

For example, a single input data source contains all content for the image, but carries no meta-level information as to how that data can be extracted, viewed or 20 manipulated. For example, if the input image is requested for OCR'able content, the baseline image is incapable of delivering the data necessary for the OCR engine. If the input image is requested for color image data content, the baseline input image is unable to extract the necessary 25 information that conveys the image content only. This knowledge is traditionally maintained in the algorithms or hard coded application; it is not flexible and independent of the application itself.

Indeed, layers carry a cognitive or meta-level knowledge 30 that allows for the mapping mechanism necessary to create and generate the proper data view required by each layer. This concept moves from a single flat raster view to a rich multi-layer view where each layer has a different

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perspective upon the underlying baseline layer, and can be processed independent or dependent upon other layers. These layers can be defined to process output optimal for a destination device if so designed and specified.

5 Take for example the process of creating two overlapping images from a single flat raster structure. One could conceive of a requirement where image content that is monochrome is to be processed mutually exclusive of image content that is color. Furthermore, the two different  
10 images are to be processed with different resolutions, pixel bit depth, compression methods, to mention a few. Traditional methods would separate the two processes, generating different intermediates, and later figuring out how to combine and weave the intermediates into a single  
15 artefact for some destination or other purpose.

With layers, one can conceive a meta-level view that sees the flat input raster image one of the components of a 5 layer representation. The first layer is the baseline input source image. The second layer is the knowledge necessary  
20 to create the perspective of monochrome content only. The third layer is the meta-level information necessary to create the view for color data content. The fourth layer contains the knowledge for the relationship between layers 2 & 3 and how they are related mutually exclusive of each  
25 other. The final layer captures the perspective of how the data is extracted from each appropriate layer to create the final representation optimal for the output device.

This differs from traditional means, whom view the source data as a flat structure and the relationship between data  
30 is captured in the application or hardcoded into a specific algorithm. Given layers, into the baseline flat image source data, one can extract any given portion of a layer. From layers, one can obtain meaningful content that may or

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may not have any resemblance to the original source, but has meta-level connection between the layers that create the final perspective for how that data is to be processed and represented at the output destination in a flexible  
5 fashion.

The pipelines or paths define the sequence of atomic operations to be performed on the input image data 1. Such atomic operations, which are individually known in the field of image data processing, can be grouped together to  
10 generate a plurality of IP (Image Processing) tools.

The IP tools comprise:

- a transformation of an image pixel from the RGB format to another image space format, for example the HLS (or HLN, for hue, lightness, chroma indicator N) format;
- 15 - a grouping function that associates elementary unit of information in order to obtain an unique information group to be processed, as the blobbing technique;
- scalars processes that change the image dimensions;
- a down sampling function;
- 20 - a thresholding function;
- a split and merge data function;
- encoder functions;
- an AND/OR and other data extraction function;
- compression functions (for example, the G4 or JPEG  
25 compression method);
- a half-tone approximation;
- data format readers and writers;

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- a re-mapping or stretching function;
- a filtering function.

In figure 12A is shown the image process of re-mapping, highly used in the field of photos processing. Since the content of data of an image histogram is usually concentrated approximately in the first 60% portion of such image histogram, the information contained in such portion are distributed or re-scaled over the all image data space in order to increase the useful information data.

- 10 Figure 12B shows schematically an implementation of the above re-mapping process, called re-mapping architecture 5. The re-mapping architecture 5 comprises a RGB to HLS converter 6, connected to an HLS to RGB converter 9, by means of a series of an HLS filter 7 and a stretch block 8.
- 15 More precisely, the re-mapping process deals only with the L channel, i.e. the lightness information, as shown in figure 12C, in which a re-mapping architecture 5' comprises a RGB to HLS converter 6' having an H, L, and S output channels. The L channel is connected to series of an HLS filter 7' and a stretch block 8'. The re-mapping architecture 5' further comprises an HLS to RGB converter 9' receiving the H and S channel as outputted from the RGB to HLS converter 6' and the L channel as processed by means of the HLS filter 7' and the stretch block 8'.
- 25 The halftone approximation is shown in figures 13A to 13C. It is pointed out that many displays and hardcopy devices are bilevel - they produce just two intensity levels - and even 2 - or 3 - bit-per-pixel raster displays produce fewer intensity levels than desired. The range of available 30 intensities can be expanded on the basis of the spatial integration that human's eyes perform. If anyone views a very small area from a sufficiently large viewing distance,

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his eyes average fine detail within the small area and record only the overall intensity of the area.

This phenomenon is exploited in printing black-and-white photographs in newspapers, magazines, and books, in the

5 technique called halftoning (also called "cluster-dot ordered dither" in computer graphics). Each small resolution unit is imprinted with a circle of black ink whose area is proportional to the blackness  $I-I$  (where  $I$  is the intensity) of the area in the original photograph.

10 Figure 13A shows part of a halftone pattern, greatly enlarged. Note that the pattern makes a  $45^\circ$  angle with the horizontal, called the screen angle.

Graphics output devices can approximate the variable-area circles of halftone reproduction. For example, a  $2 * 2$

15 pixel area of a bilevel display can be used to produce five different intensity levels at the cost of halving the spatial resolution along each axis. The patterns shown in Fig. 13B can be used to fill the  $2*2$  areas with the number of ON pixel that is proportional to the desired intensity.

20 Figure 13C shows a face digitized as a  $351*351$  image array and displayed with  $2*2$  patterns.

Another image data processing comprises a down sampling and thresholding of the data. In particular, as shown in figure

25 calculated and extracted from the input data (FORMAT 1), the image data are down sampled.

The down sampling algorithm can be performed in various ways that all have a different effect on the performance of the algorithm. If down sampling by taking the average value

30 in a neighborhood is used, a lot of document (small regions of color due to the printing process for e.g.) and scanner noise (jitter on the RGB signal) can be eliminated.

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More generally, an up/down sampling algorithm, which raise/reduce the image dimensions, can be used.

The down sampled image data are then selected by means of a threshold, so obtaining an image having a pixels format  
5 without the spike noise (FORMAT 2). In fact, the output data are considered ON if colorfulness of the input image pixel is above the threshold and OFF if it is lower than the threshold.

The simplest case consists in applying a fixed threshold.  
10 The output of this stage is a down sampled version of the original image that has ON pixels in those regions where the color content of the original image were above the color threshold. Moreover, a rule for the colorfulness indicator can be considered. For example, evaluating the  
15 ON/OFF states of a particular pixel PX as well as the right RP and left pixel LP, the following rule can be applied:

left pixel LP	pixel PX	right pixel RP	result
ON	ON	ON	colorfull
OFF	OFF	OFF	no color.

20 Moreover, different threshold values can be considerated with reference to different final devices.

For example, a low resolution display does not need to receive a 16 billion color image data, since such device have no possibility of elaborating and displaying this kind  
25 of complex image data. An image data forwarded to a low resolution display can be obtained by means of particular thresholding values by limiting the number of available colors, e.g. filtering pale colors and transforming then into white or "clustering" different type of "reds" in  
30 order to have only one "red".

- 20 -

An example of an acceptable range for the threshold values is 0 to 30 for an input image data of 0 to 255.

The more complex case accumulates a histogram of the color content of the page and, using a heuristic, decides what 5 the best threshold for the page is.

The color information of single small groups of pixels can be further grouped together using known simple grouping techniques. The grouping step is performed on data of connected components, as shown in figure 14A (FORMAT 3).

10 This has the advantage of grouping regions of pixels that are considered colorful into bigger group. In this way, when the regions of colorful pixels are compressed (in a later stage), not every pixel has to be compressed singularly. The compression of a larger group of pixels is 15 more efficient than the singular compression of each single colorful region.

The grouping of pixels also has the advantage of enabling the elimination of small groups of pixels that are still considered to be due to noise, or in any case, of 20 insignificant size.

The whole procedure that has been depicted can also be performed on a strip basis on the whole original image, without any modification, as shown in figure 14B. Strip based analysis produces a nice side effect on the grouping 25 of pixels. In fact, if the grouping of pixels is performed on a strip basis, the grouping of pixels enables an approximation of the contour of colored regions, as shown in figure 15.

Figure 14C shows a down sampling/thresholding/grouping 30 device 10. The first component is an RGB to Chroma space converter 11. It converts, on a pixel by pixel basis, the color representation of every input pixel into a different

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color space representation.

When the aim is making decisions on the colorfulness of a pixel, using the right color space representation is important.

- 5 The RGB color space has been found not very convenient for this type of analysis. The color space used should have an indication of the colorfulness of the specific pixel. The HLN (Hue/Lightness/N??) color space was found particularly convenient and is used in the current realization.
- 10 The indication of Chroma, in this HLN color space, is directly the content of the N channel, where  $N = \max(R, G, B) - \min(R, G, B)$ .

The down sampling/thresholding/grouping device 10 further comprises a down sampler 12, that down samples the N channel, and a thresholding device 13, in turn comprising a threshold selector 14 and a look-up-table LUT 15 which apply a threshold to the down sampled data.

20 The output data is considered ON if colorfulness of the input image pixel is above the threshold and OFF if it is lower than the threshold.

Moreover, the color information of single small groups of pixels can be further grouped together by means of a grouping block 16, using known simple grouping techniques on data of connected components, in order to improve the 25 compression of the image data and eliminate small groups of pixels that are still considered to be due to noise, or in any case, of insignificant size.

It should be noted that a HLS to RGB converter (not shown in figure 14C) can also be added to the down sampling/thresholding/grouping device 10 in order to obtain 30 RGB output data.

A simple grouping procedure called blobbing can be used in order to extract the images from a document, as shown in figure 16, where the blobbed regions should correspond to the images of the document.

- 5 A further useful atomic operation on image data is the AND function, shown schematically in figure 17. In particular, after the recognition of the colorfulness content of each pixel and the blobbing of the image data, the singular images in the processed document can be separated by means  
10 10 of an AND function of such data and a mask, duly created on the basis of the following relationships:

RGB AND WHITE = RGB  
RGB AND BLACK = BLACK.

- 15 IMAGE1 and IMAGE2 can be described as regions, identified by coordinates and dimensions.

A sub-mask can be associated to each region to filter significant and insignificant data, as shown schematically in dotted lines in figure 16.

- 20 Finally, another known atomic operation that can be used in the HLS space (or equivalent) is the filtering of achromatic portions, as shown in figure 18A, by means of a sub-cylinder section (ACHROMATIC) of the HLS color space.

- 25 Figure 18B shows schematically a filter 17, according to the above filtering function. The filter 17 comprises a RGB to HLS converter 18, connected to an HLS gray filter 19 and to a HLS to RGB converter 20.

- According to the present invention, starting from a "flat" data sheet (the pixels' raster) a multi-layer representation is obtained. Moreover, using a dynamic pipeline, i.e. the collection of elementary functions and their links as above defined, output data having any kind  
30

of format are obtain d.

A configurable image processing device 21 according to the present invention comprises three major subsystems:

1. the unit of processing called "transforms";
- 5 2. the unit of work called "packets";
3. the unit of transportation called "ports".

With this three component, the device provide for transformations of image data, connections between the transforming units, and independent data representation.

- 10 In particular, transforms process data in a context independent fashion, that being there is not implied knowledge coupled between transforms influencing the means of process data. Moreover transforms support multiple input and output capabilities through a port mechanism.
- 15 In other words, transforms perform atomic operations on the data presented through a packet mechanism.

- Moreover, ports provide a communication protocol between processing units. The actual port protocol ranges from direct function call invocation, to operating system
- 20 message queue/mail box protocols, to remote procedure invocations, as well as http links.

- Finally, packets provide the encapsulation of data. Data can represent actual image data in a variety of different formats, histogram information, compression data, local
- 25 grouping of data without requiring any impact to other components. By isolating the data into the packet mechanism data can be represented in the most efficient fashion for transportation or transformation without impacting either the transforming units or the means of data transportation.

The configurable image processing device 21 and its three major subsystems isolate the means whereby data is transported around the system. By doing so, an image data processing pipeline is distributed in a transparent 5 fashion, not influencing any other component within the image processing system.

In fact, the configurable image processing device 21 according to the present invention, select a particular group of atomic operations to be performed on the basis of 10 the final device receiving the output data. By doing so, the device "create" a specific pipeline providing the required transformation of data.

A functional and schematic representation of the 15 configurable image processing device 21 is shown in figure 19A. The device 21 comprise a selector 22 of the particular group of atomic operations for a final device and a pipeline 23, that establishes the order and links between such atomic operations.

In figure 19A is also shown a final reconstruction block 20 24, that process the results of the selector 22 and the pipeline 23 in order to obtain the desired output layer of data.

It should be noted that the pipeline 23 can also comprise 25 sub-pipelines, in a more and more complicated structure, as shown in figure 19B.

Advantageously, according to the present invention, each pipeline generates a layer, i.e. a specific data organization. In this way, pipelines are created through a meta-data level of knowledge. Since a pipeline itself is an 30 independent structure of different atomic operations and their links, there is no inherent knowledge incorporate in the hardware components of the image data processing device

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to convey the meaning/context of how the pipeline is to be applied.

In other words, the effect of the pipeline is captured in how the atomic components are connected through ports and  
5 the data is transported and transformed. This meta-level of context is outside of the context implicitly provided by the components themselves; this task is left to the application that define and drives the dynamic pipeline.

Advantageously, according to the dynamic pipeline as above  
10 defined, at any given moment there is never a static definition of the meaning of the pipeline itself. The context is represented in a meta-level definition that can be altered during run-time execution and can be reconfigured/rewired during the lifetime of the product  
15 even after the product has frozen "bits" and entered the marked.

Finally, it is pointed out that a particularly useful application obtained from a dynamic pipeline according to the present invention deals with two particular layers of  
20 output data, i.e. the BW and color layers, needed in the increasingly used PDF representation.

A data processor 24 for obtaining processed color and BW layers is shown more precisely in figure 20.

The data processor 24 has an input IN that receives the  
25 raster image data, for example in the RGB format, and is connected to a dual path layer creator component 25, which in turn outputs a first and a second layer, such layers having different data compression rate.

The first layer is inputted in a BW path 26 that outputs a  
30 processed BW layer. In a similar manner, the second layer is inputted in a color path 27 that outputs a processed

color layer. The processed BW and color layers are finally inputted in a PDF device 28.

More particularly, in the example shown in figure 20, the BW path 26 comprises a threshold block 29 connected in 5 series to a compressor 30. A G4 compression is often used in the field of BW image data processing.

Moreover, also in figure 20, the color path 27 comprises a RGB to HLN converter 31, having the output N channel connected to a series of a down scale device 32, an 10 histogram and threshold selector 33, a look-up-table 34, a blob analysis block 35, a fill regions block 36 and a compressor 37. A JPEG compression is often used in the field of color image data processing.

While it has been designed for embedded scanner 15 applications, the configurable image processing device according to the present invention is platform independent as it can also operate on a personal computer or in a work station environment.

There are several advantages of the image data processing 20 method and device according to the present invention:

1. The meta-level contextual knowledge used by the processing method and device is not hard coded into the physical implementation of the dynamic pipeline.
2. The individual processing units/transforms as above 25 defined can be realized in hardware, firmware, or software components to exploit capability of device.
3. The transportation of data is de-coupled and inherent transparent to the intent/purpose of the pipeline itself.
4. The dynamic pipeline is completely self-contained, and 30 its representation can be saved in whole or in part, so

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that context/state can be saved/replayed.

5. The processing method leads to parallel, distributed solutions across any number of platform combinations.

6. New transformations can be added after the product has  
5 been shipped through special code extending applet transform.

7. The data representations can be shared on local copies of memory structures.

## CLAIMS

1. An image data processing method receiving an input image data split into elementary unit of information characterized in that it provides an output image data with  
5 a particular image format depending on the requirements of a final device receiving such output image data by means of the following steps:

step 1) identifying the final device which receives the output data;

10 step 2) getting the input image data;

step 3) from a repository of basic imaging operation selecting a plurality of operations to be performed on said input image data;

15 step 4) creating a sequence of operations that links and ordinate said plurality of operations;

step 5) applying said sequence to the input image data, to create a plurality of layers of organized data of said input image data;

20 step 6) assembling said plurality of layers into at least an output layer which best fit the requirements of the identified final device; and

step 7) sending said assembled output layer to the identified final device.

25 2. An image data processing method according to claim 1, characterized in that in said steps 3 to 5 each sequence yields to an unique result.

3. An image data processing method according to claim 1, characterized in that said steps 5 and 6 specify, for each layer, what does it mean and what/how to process the input

image data within.

4. An image data processing method according to claim 1,  
characterized in that said repository comprises a  
compression function comprising in turn the G4 and JPEG  
5 compression methods.

5. An image data processing method according to claim 1,  
characterized in that said repository comprises an AND  
function used in combination with a black & white mask.

6. An image data processing method according to claim 1,  
10 characterized in that said repository comprises a re-  
mapping function selecting a portion of the input image  
data and distributing or re-scaling the information  
contained in such portion over the all image data space.

7. An image data processing method according to claim 6,  
15 characterized in that the content of the input image data  
is concentrated in said selected portion.

8. An image data processing method according to claim 1,  
characterized in that said repository comprises a  
thresholding function comprising in turn the following  
20 steps:

- calculation and extraction of an indicator of  
colorfulness of each pixel from the input data (FORMAT 1);

- selection and classification of output data on the  
basis of a threshold value or a rule for the colorfulness  
25 indicator.

9. An image data processing method according to claim 8,  
characterized in that an elementary unit of information of  
output data is considered ON if the colorfulness indicator  
of the corresponding elementary unit of information of the  
30 input data is above the threshold value and OFF if it is

- 30 -

lower than the threshold value.

10. An image data processing method according to claim 8, characterized in that said threshold value is a fixed value.

5 11. An image data processing method according to claim 8, characterized in that said thresholding function uses a histogram of the color content of a processed document page in order to decide what the best threshold value for the document is.

10 12. A configurable image processing device (21) to read and output an image data comprising input means to get an input image and output means for providing a particular output format depending on a final device that receive such output image data, selection means to select a particular 15 group of atomic operations to be performed on the basis of said final device performance, so creating a specific sequence providing meaningful information for the selected device.

13. A configurable image processing device (21) according 20 to claim 12, characterized in that said device comprises at least the following major subsystems:

1. unit of processing called "transforms";

2. unit of work called "packets";

3. unit of transportation called "ports",

25 said subsystems providing for transformations of input image data, independent data representation and connections between the transforming units.

14. A configurable image processing device (21) according to claim 13, characterized in that said transforms process

data in a context independent fashion, that being there is not implied knowledge coupled between transforms influencing the means of process data.

15. A configurable image processing device (21) according  
5 to claim 12, characterized in that it comprises a sequence  
of basic operations providing for a re-mapping architecture  
(5).

16. A configurable image processing device (21) according  
to claim 15, characterized in that said re-mapping  
10 architecture (5) comprises a RGB to HLS converter (6),  
connected to an HLS to RGB converter (9), by means of a  
series of an HLS filter (7) and a stretch block (8).

17. A configurable image processing device (21) according  
to claim 15, characterized in that said re-mapping  
15 architecture (5) comprises a RGB to HLS converter (6')  
having a plurality of output channels (H, L, S), only one  
of said output channel (L channel) being connected to  
series of a filter (7') and a stretch block (8'), the re-  
mapping architecture (5') further comprising an HLS to RGB  
20 converter (9') receiving the original channels (H, S) as  
outputted from the RGB to HLS converter (6') and the  
channel (L channel) as processed by means of said filter  
(7') and stretch block (8').

18. An image data processing method according to claim 9,  
25 characterized in that if the elementary unit of information  
is considered OFF said elementary unit of information is  
reproduced in black and white.

## ABSTRACT

The invention relates to an image data processing method receiving an input image data splitted in elementary unit of information and providing an output image data with a particular image format depending on the requirements of a final device that receive such output image data by means of the following steps:

step 1) identification of the final device that receives the output data;

10 step 2) get an input image data;

step 3) from a repository of basic imaging operation selecting a plurality of operations to be performed on the input image data;

15 step 4) creating a sequence of operations that links and ordinate the plurality of operations;

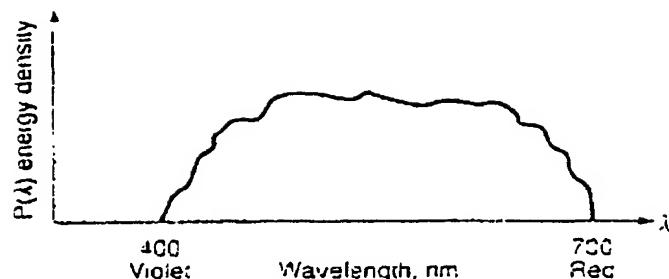
step 5) applying the sequence to the input image data to create a plurality of layers of organized data of said input image data;

20 step 6) assembling said plurality of layers into at least an output layer which best fit the requirements of the identified final device; and

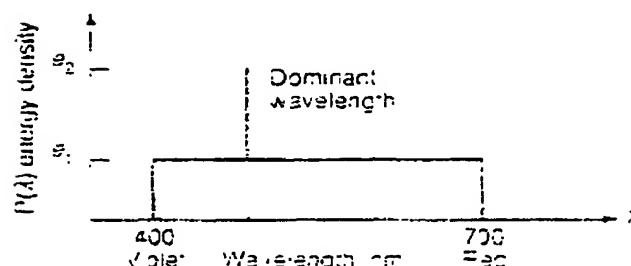
step 7) sending the assembled output layer to the identified final device.

The invention also relates to a configurable image processing device (21) to read and output an image data comprising input means to get an input image and output means for providing a particular output format depending on a final device that receive the output image data, selection means to select a particular group of atomic operations to be performed on the basis of the final device performance, so creating a specific sequence providing meaningful information for the selected device.

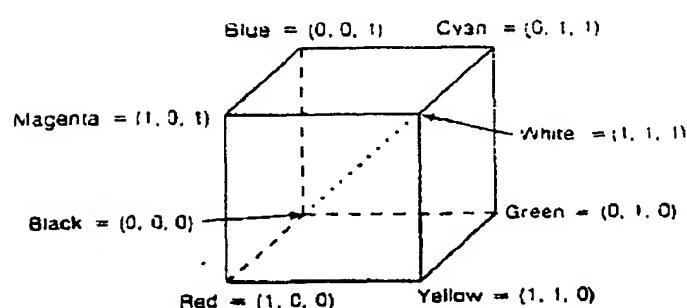
1/12



**FIG. 1**  
**PRIOR ART**

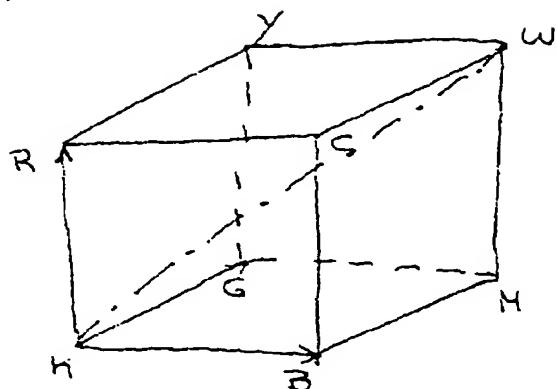


**FIG. 2**  
**PRIOR ART**



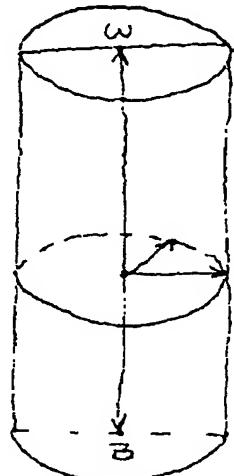
**FIG. 3**  
**PRIOR ART**

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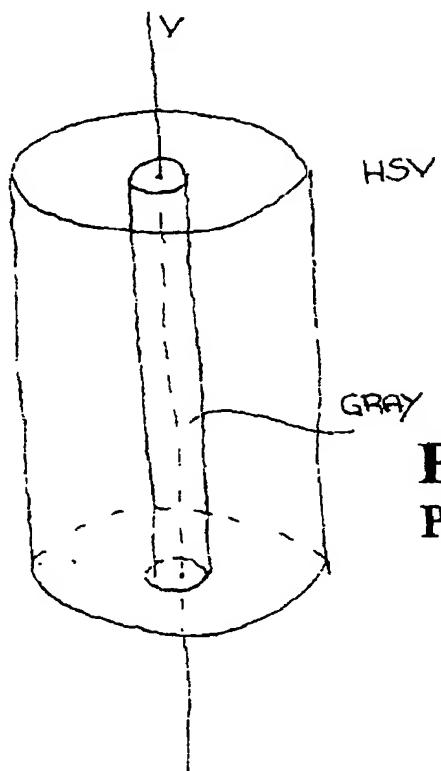


## **FIG. 4A PRIOR ART**

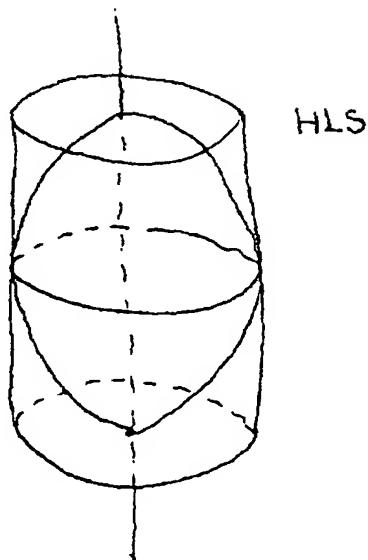
PGE



HLC/HSV



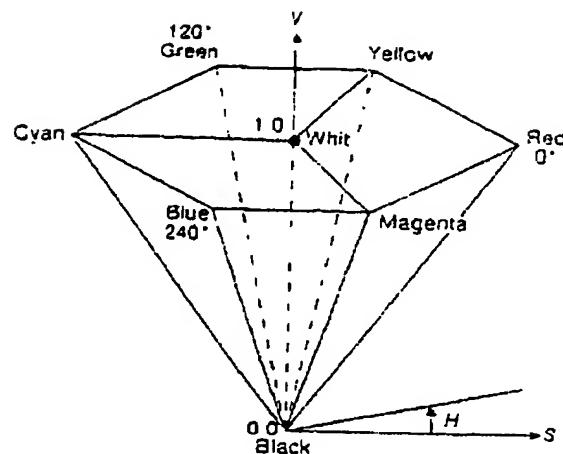
## **FIG. 4B PRIOR ART**



## **FIG. 6 PRIOR ART**

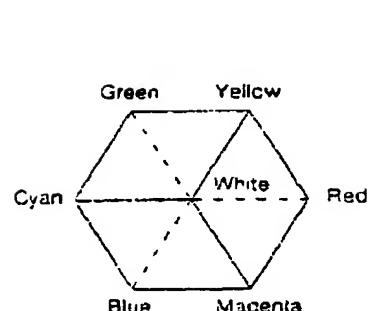
HLS

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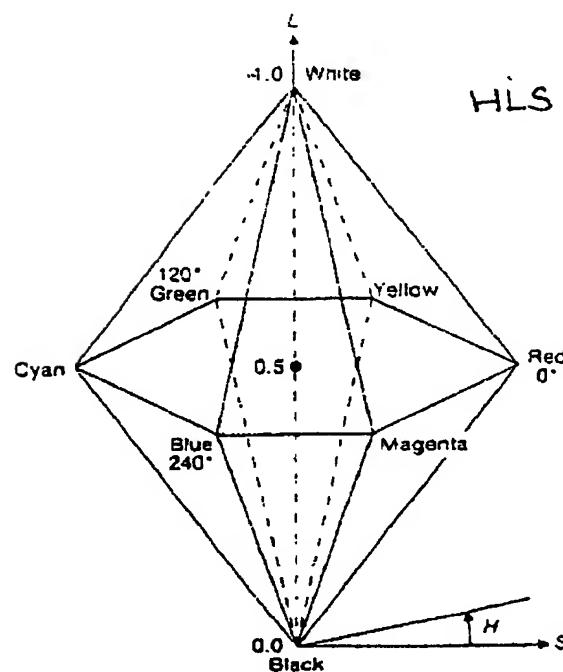
HSY

**FIG. 7**  
**PRIOR ART**



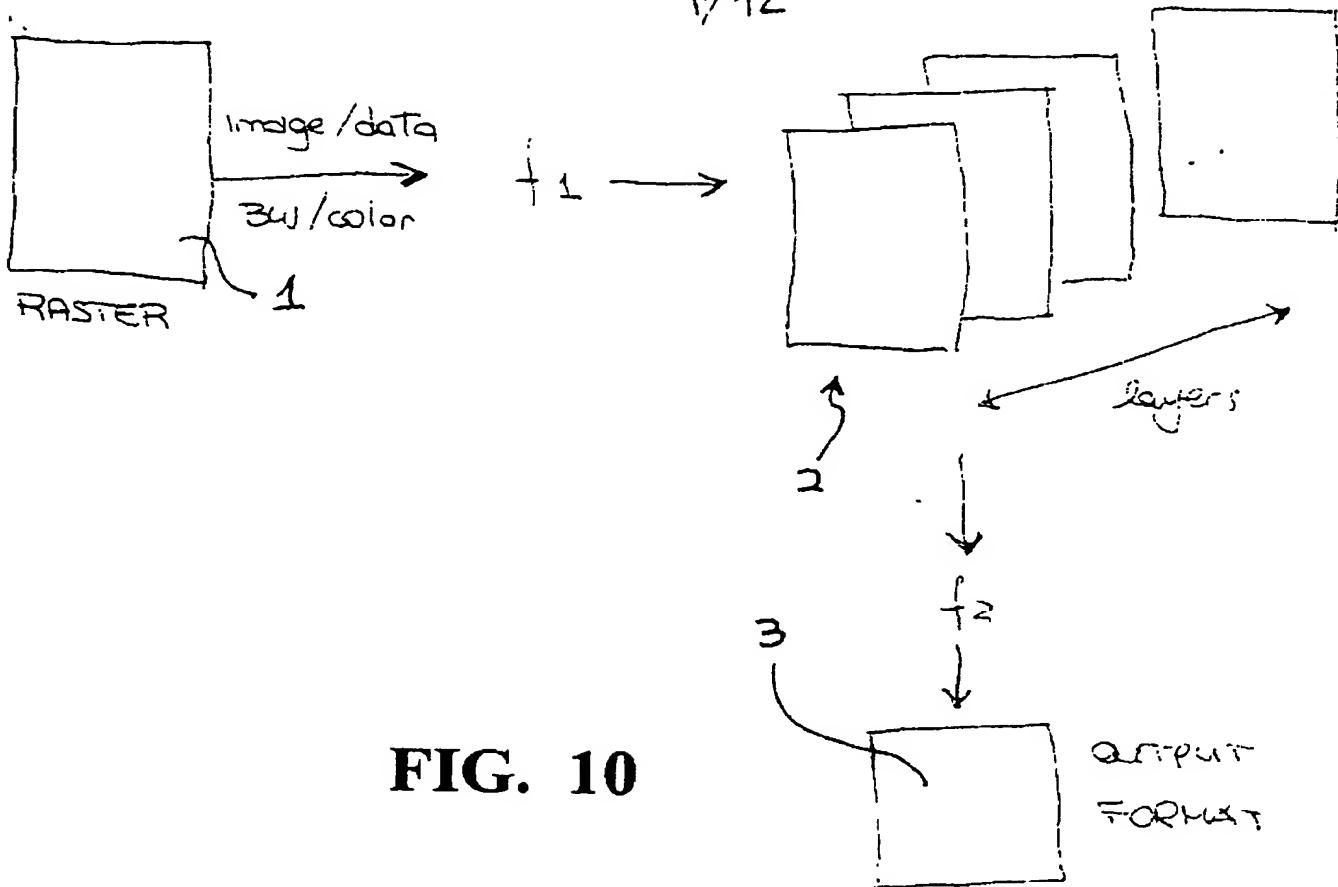
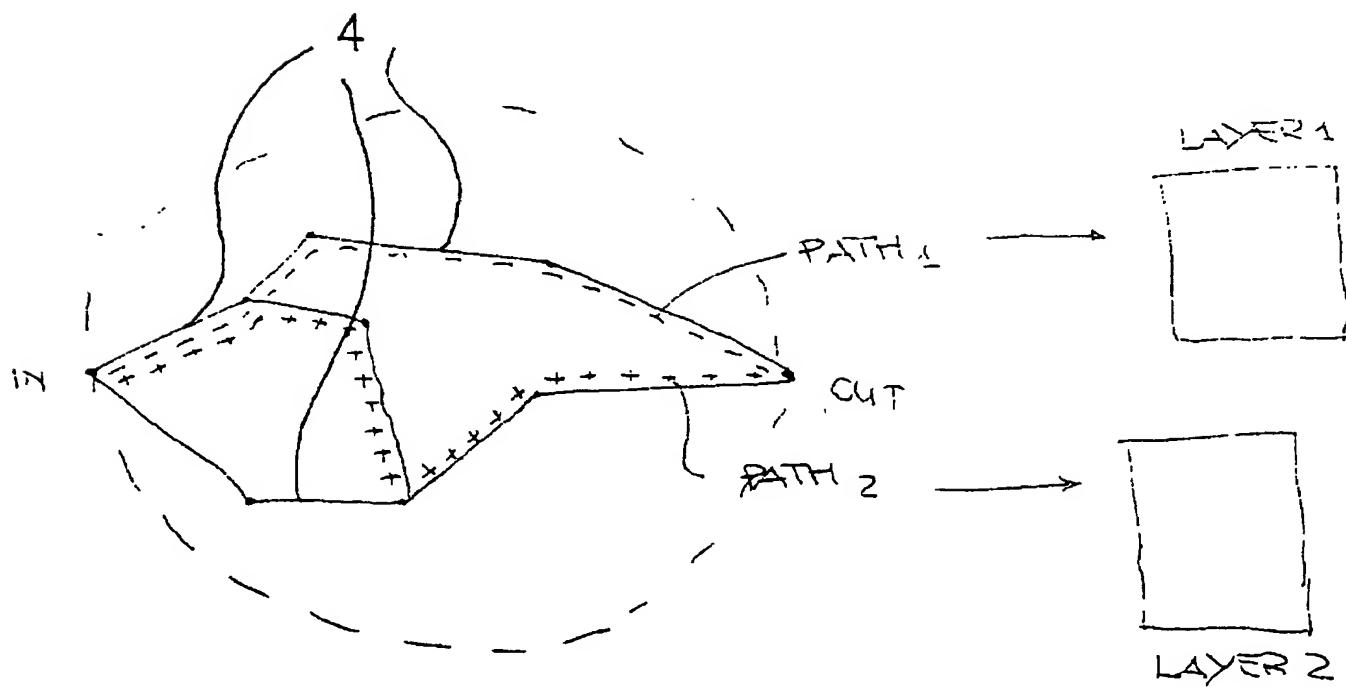
HSV projection

**FIG. 8**  
**PRIOR ART**

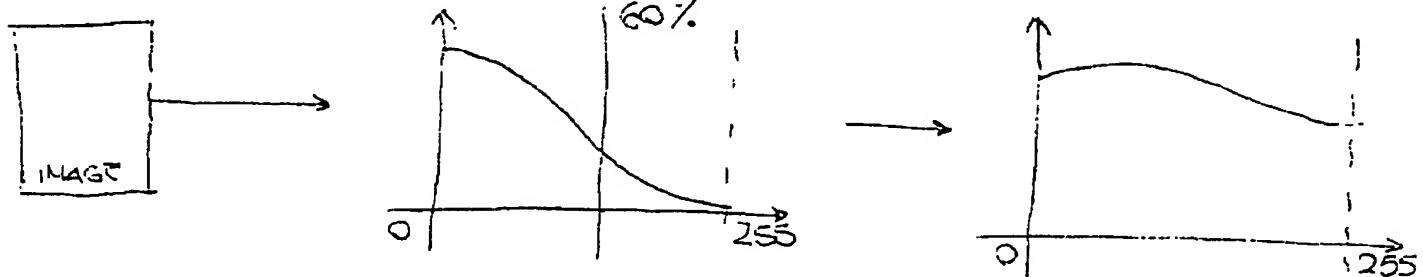
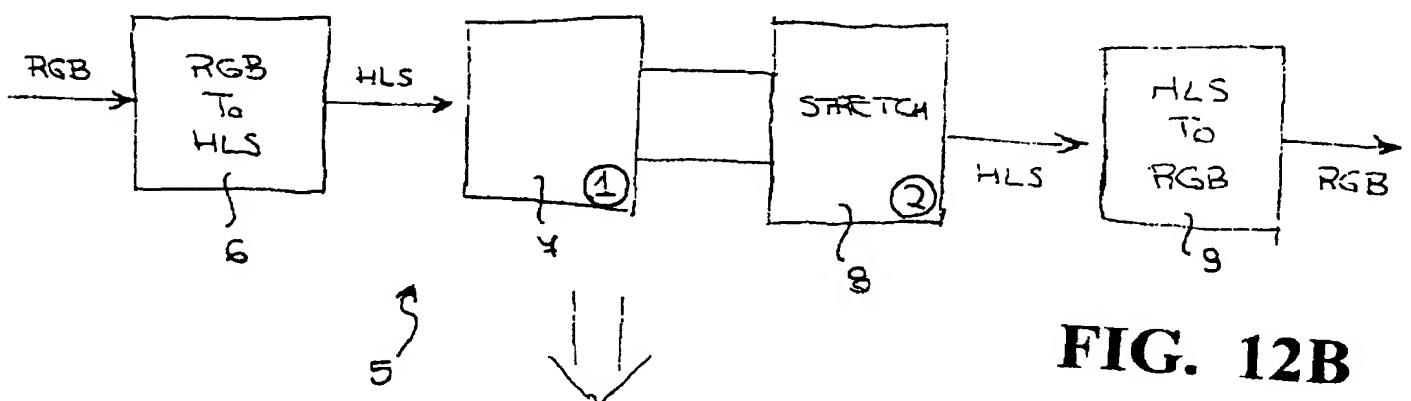
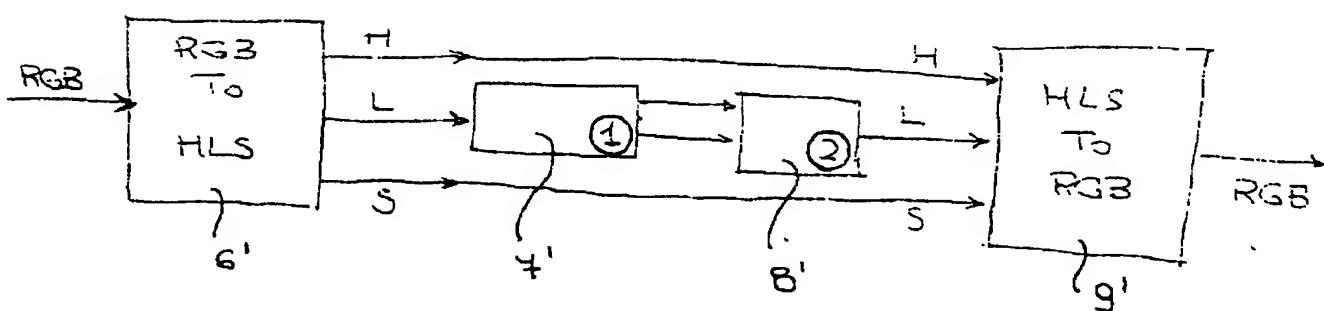


**FIG. 9**  
**PRIOR ART**

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**FIG. 10****FIG. 11**

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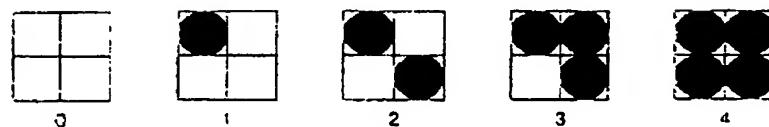
**FIG. 12A****FIG. 12B****FIG. 12C**

5'

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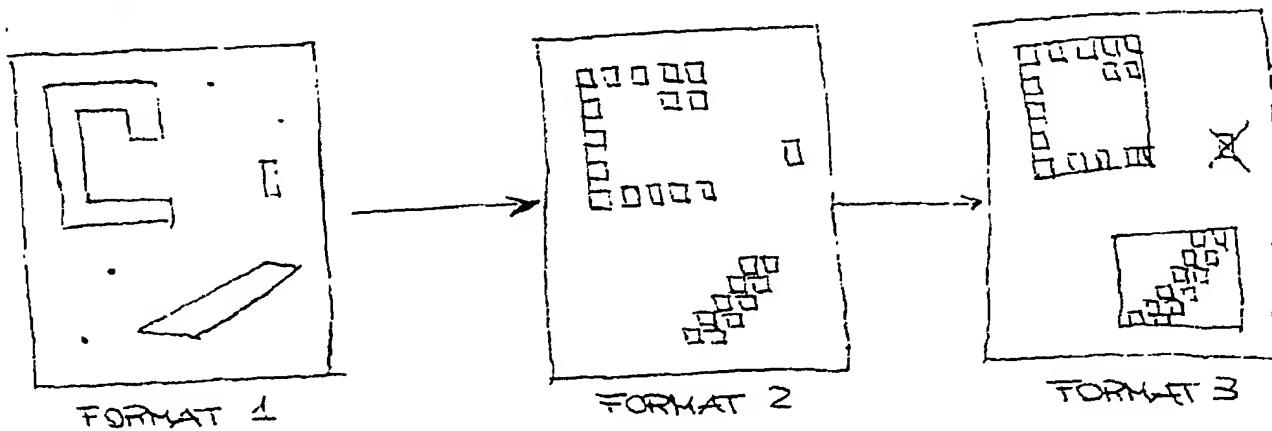
**FIG. 13A**



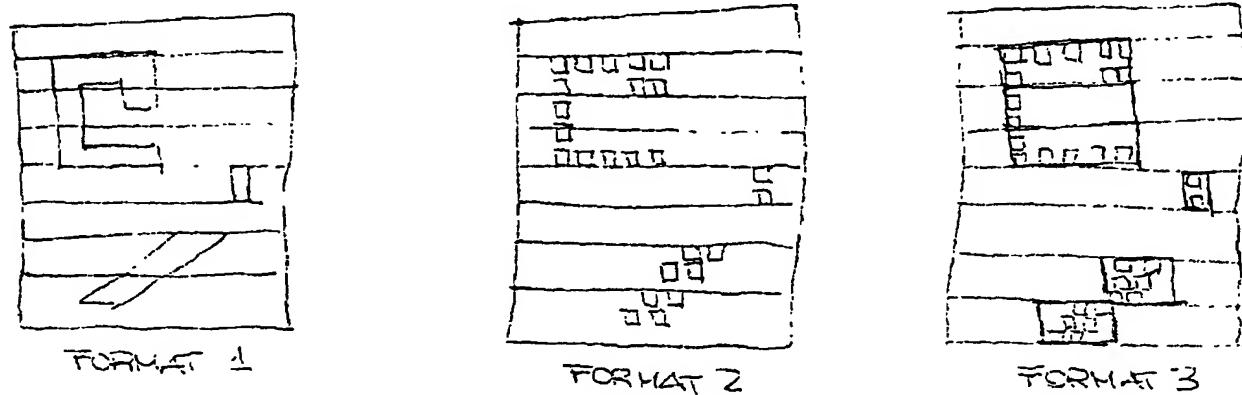
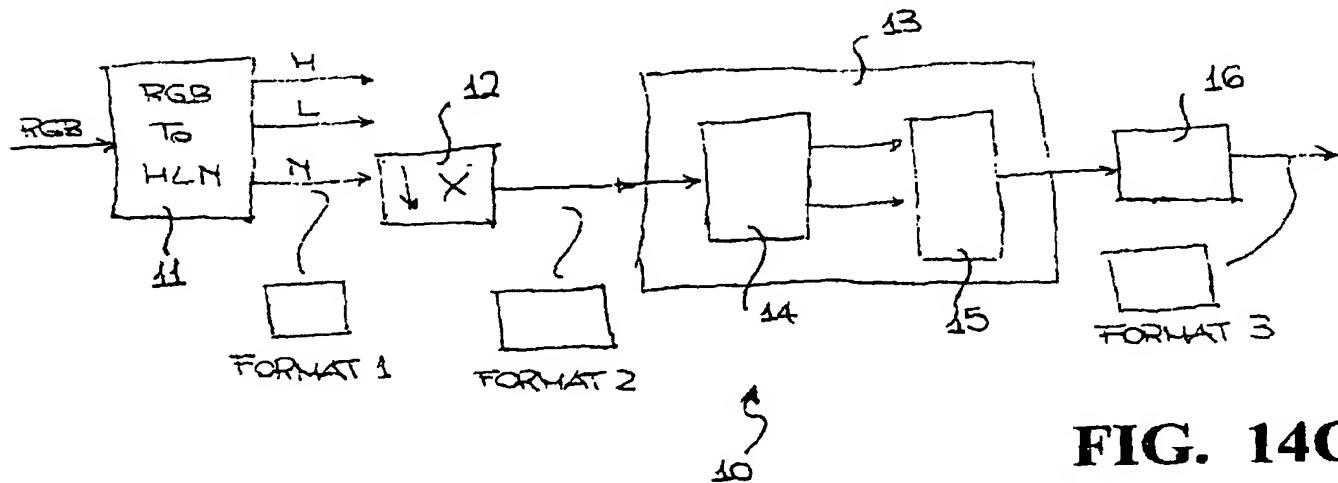
**FIG. 13B**



**FIG. 13C**

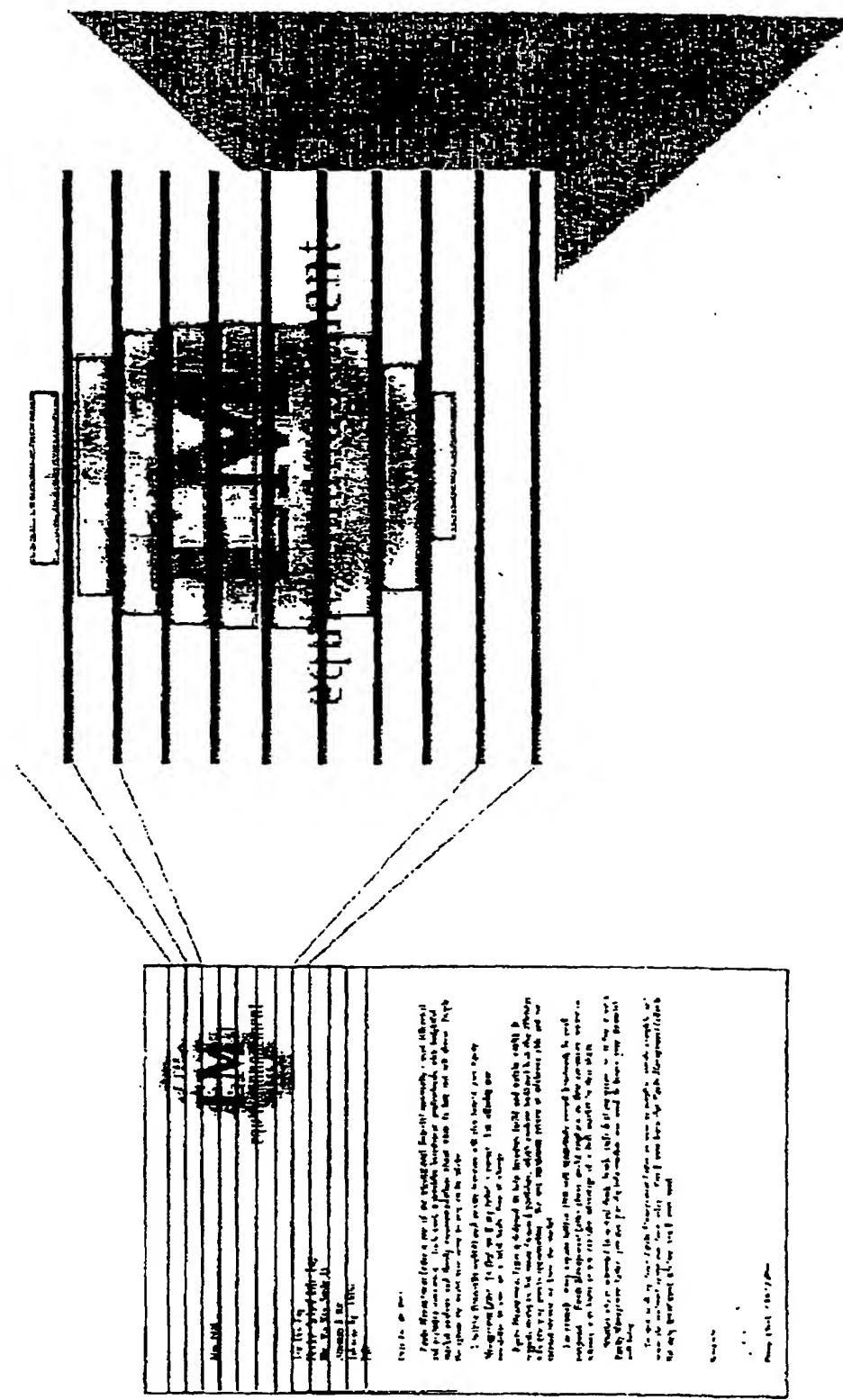


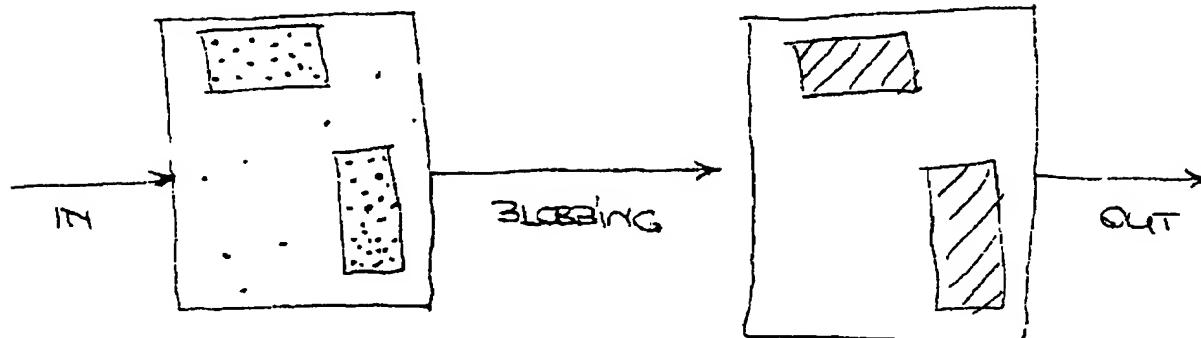
OR

**FIG. 14A****FIG. 14B****FIG. 14C**

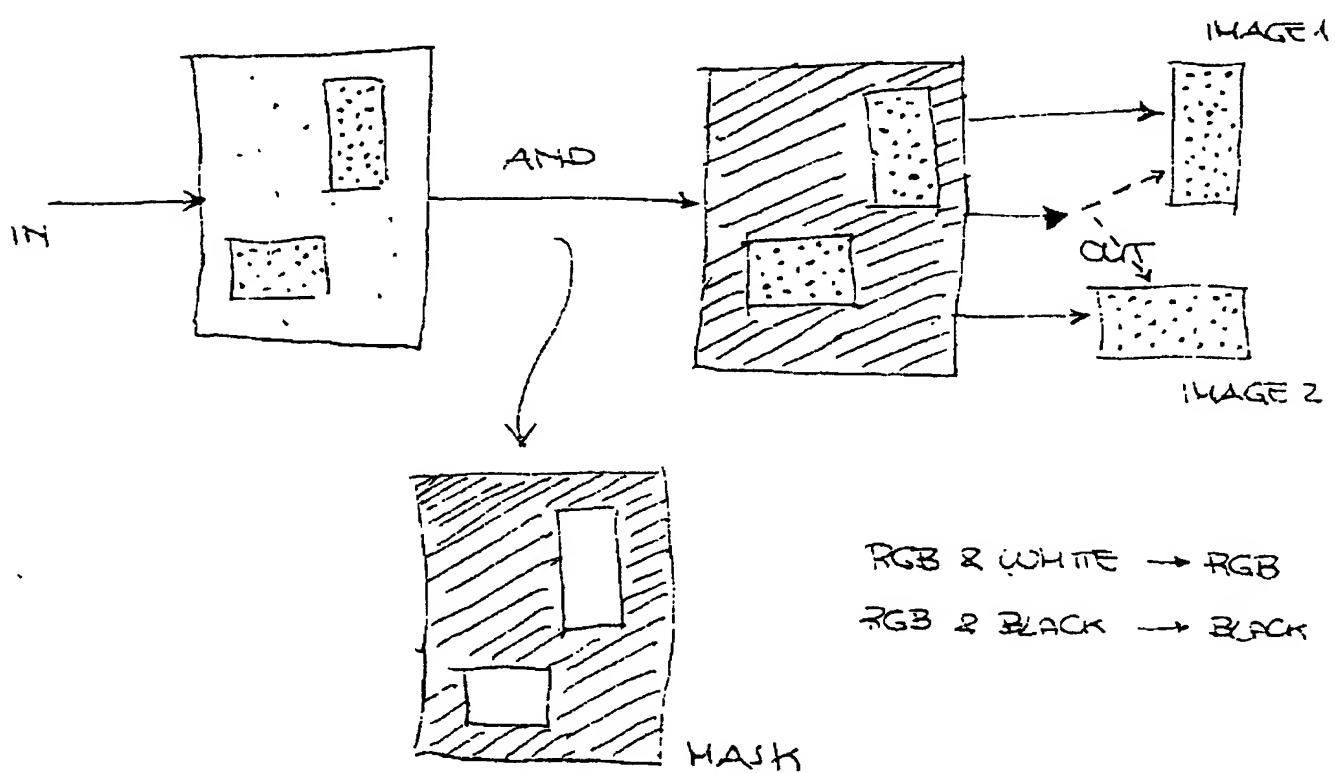
334

FIG. 15



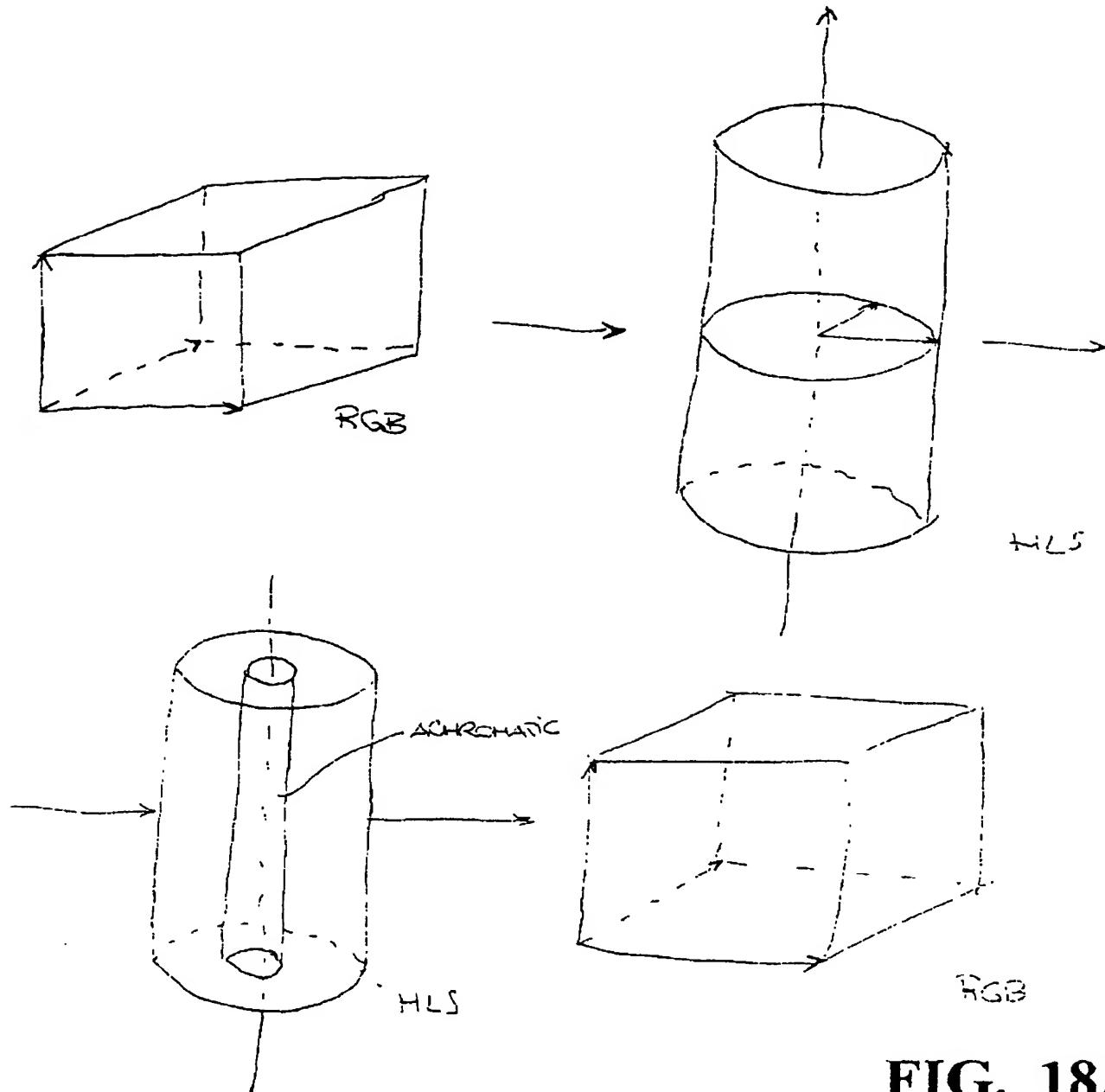
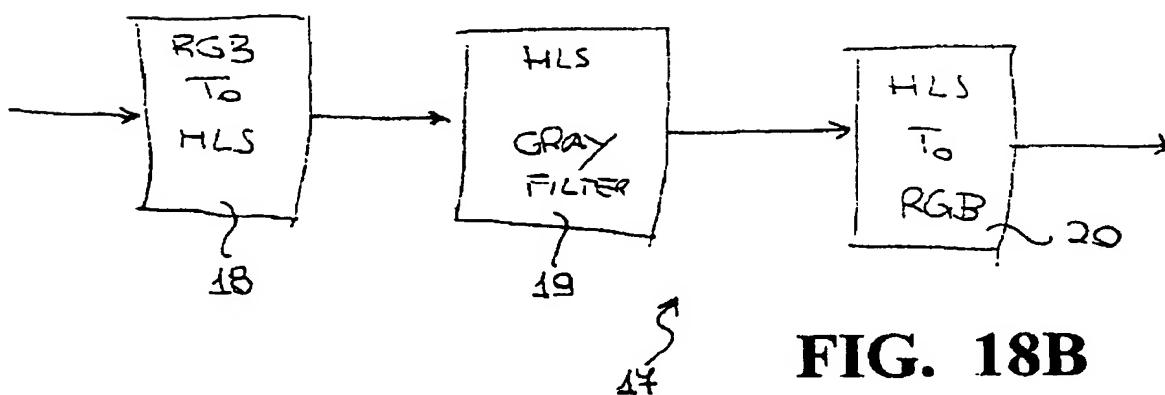


**FIG. 16**

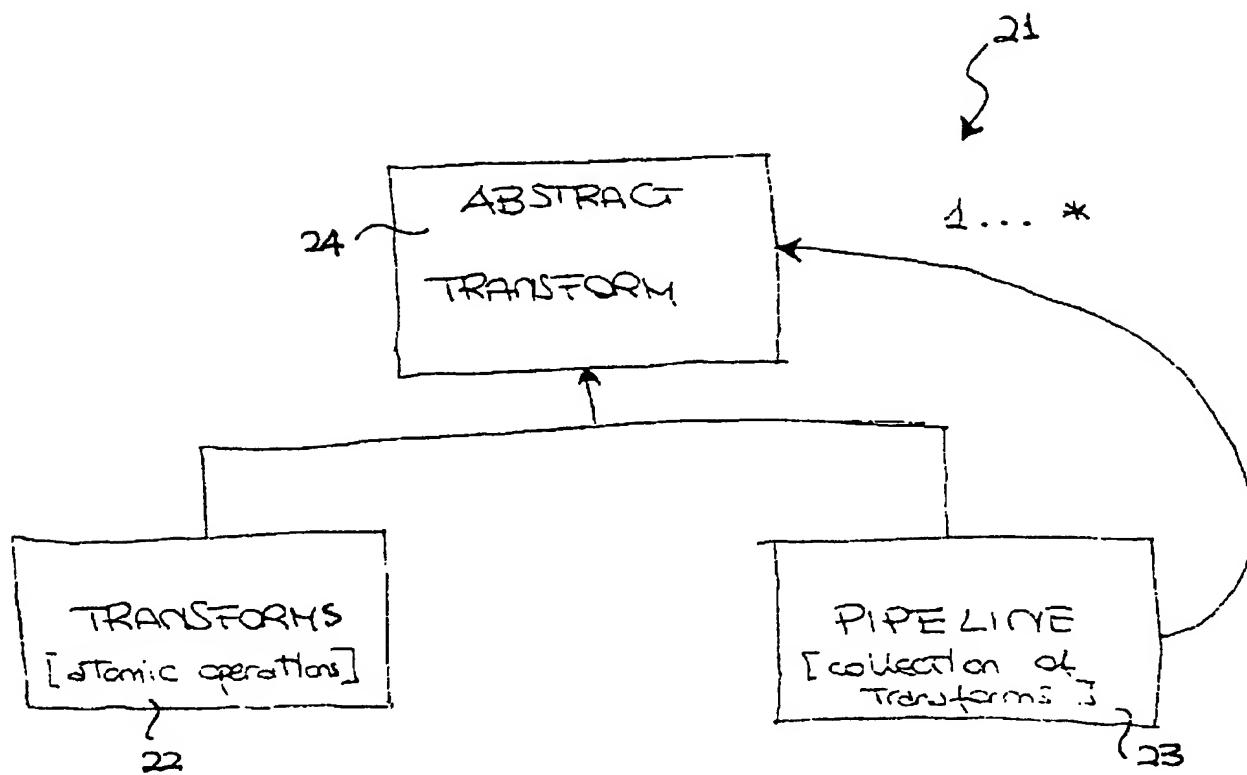
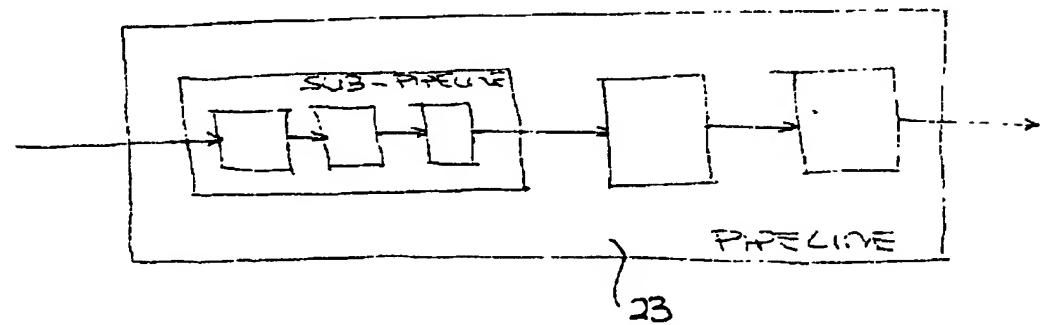


**FIG. 17**

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**FIG. 18A****FIG. 18B**

11/12

**FIG. 19A****FIG. 19B**

12172

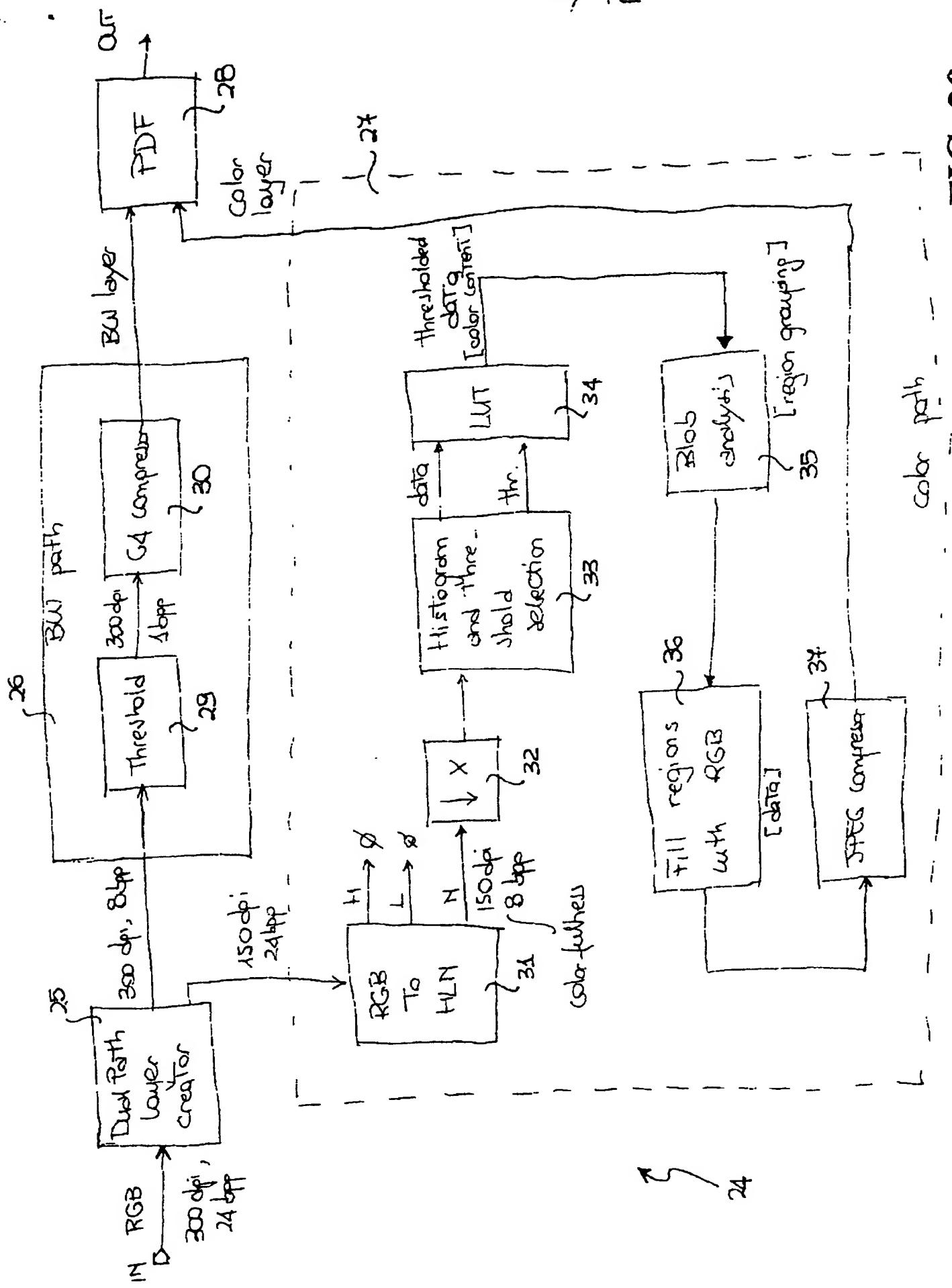


FIG. 20